

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14113.79

In Re Application: **Richard S. Ohran**

Serial No.
09/997,643

Filing Date
November 29, 2001

Examiner
Unassigned

Group Art Unit
2185

PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS STORAGE SYSTEM



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R. Burns Israel
Signature

Dated: February 26, 2003

R. Burns Israel

Registration No. 42,685

Attorney for Applicant



022913

PATENT TRADEMARK OFFICE

CC:

02-28-03

GP 2185

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14113.79

In Re Application Of: Richard S. Ohran

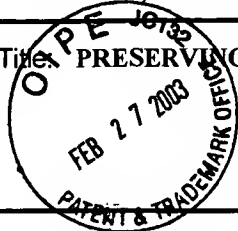
Serial No.
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Title: PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS STORAGE SYSTEM



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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).



EXPRESS MAIL LABEL NO.: EV 157 562 037 US

PATENT APPLICATION

Docket No.: 14113.79

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard S. Ohran

Serial No.: 09/997,643

Filed: November 29, 2001

Confirmation No.: 7417

For: PRESERVING A SNAPSHOT OF SELECTED
DATA OF A MASS STORAGE SYSTEM

Examiner: Unassigned

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) Art Unit
) 2185
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 26 day of February, 2003.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685



022913

PATENT TRADEMARK OFFICE

EXPRESS MAIL LABEL NO.: EV 157 562 037 US

Form PTO-1449

Sheet 1 of 3

Applicant: Richard S. Ohran

Serial No.: 09/997,643

Att'y Docket No.: 14113.79

Filing Date: November 29, 2001

Group: 2185

Confirmation No.: 7417

PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS
STORAGE SYSTEM



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
_____ A1.	6,332,177	12/18/2001	Humlicek	10/19/98
_____ A2.	6,131,148	10/10/00	West, et al.	01/26/98
_____ A3.	6,101,585	08/08/00	Brown, et al.	11/04/97
_____ A4.	6,081,875	06/27/00	Clifton, et al.	05/19/97
_____ A5.	5,790,773	08/04/98	DeKoning, et al.	12/29/95

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
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NONE

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 157 562 037 US

Form PTO-1449

Sheet 2 of 3

Applicant: Richard S. Ohran

Serial No.: 09/997,643

Att'y Docket No.: 14113.79

Filing Date: November 29, 2001

Group: 2185

Confirmation No.: 7417

For: PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS
STORAGE SYSTEM

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

NONE

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MAR 04 2003

References Cited by Applicants

Technology Center 2100

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 157 562 037 US

Form PTO-1449

Sheet 3 of 3

Applicant: Richard S. Ohran

Serial No.: 09/997,643

Att'y Docket No.: 14113.79

Filing Date: November 29, 2001

Group: 2185

Confirmation No.: 7417

For: PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS
STORAGE SYSTEM

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

14113.79

Applicant(s): Richard S. Ohran

Serial No.

09/997 643

Filing Date

November 29,
2001

Confirmation No.

7417

Examiner

Unassigned

Group Art Unit

2185

Invention: PRESERVING A SNAPSHOT OF SELECTED DATA OF A MASS STORAGE SYSTEM

I hereby certify that the Transmittal letter (2 pages) (in triplicate) Supplemental Information Disclosure Statement (2 pgs); Form PTO-1449 (3 pgs); Legible copies of 5 Cited References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC 20231 on Feb. 27th, 2003.

RECEIVED**MAR 04 2003****Technology Center 2100**

Dolly Burnett

*(Typed or Printed Name of Person Mailing
Correspondence)*

Dolly Burnett
(Signature of Person Mailing Correspondence)

EV 157 562 037 US

("Express Mail" Mailing Label Number)